SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject	S
to Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1/b)	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

APPROVAL	
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OMB Number:	3235-0287
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		on [*]	2. Issuer Name and Ticker or Trading Symbol <u>Five9, Inc.</u> [FIVN]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				
(Last) C/O FIVE9, INC	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 12/02/2022	X	Officer (give title below) SVP, Chief Accountin	Other (specify below)		
3001 BISHOP DR., STE. #350			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check App				
(Street) SAN RAMON	СА	94583		Line) X	Form filed by One Repor Form filed by More than Person	- -		
(City)	(State)	(Zip)			1 613011			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)				5. Amount of Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	12/02/2022		S		408(1)	D	\$65.52	14,344	D	
Common Stock	12/05/2022		S		848(1)(2)	D	\$ 65.47 ⁽³⁾	13,496	D	
Common Stock	12/06/2022		Α		1,851	Α	\$0	15,347	D	
Common Stock								285	Ι	by Trust

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 11. Nature 10. Derivative Conversion Date Execution Date Transaction of Expiration Date (Month/Day/Year) Amount of Derivative derivative Ownership of Indirect or Exercise Price of Derivative (Month/Day/Year) Derivative Securities Underlying Form: Direct (D) Security Security Securities Beneficial if any Code (Instr. (Instr. 3) (Month/Dav/Year) 8) Beneficially Ownership Securities (Instr. 5) Acquired (A) or Disposed of (D) (Instr. 3, 4 Derivativ Owned or Indirect (Instr. 4) Security Security (Instr. 3 and 4) Following (I) (Instr. 4) Reported Transaction(s) (Instr. 4) and 5) Amount Number Date Expiration of

Exercisable

Date

Title

Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 31, 2022.

Code v (A) (D)

2. The reported sales were to cover taxes upon the vesting of restricted stock units

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$65.41 to \$65.56, inclusive. The reporting person undertakes to provide Five9, Inc., any security holder of Five9, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

Remarks:

/s/ David Hill, Attorney in Fact

Shares

12/06/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.