# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

| OMB Number: 3235-0287    |       |  |  |  |  |  |  |  |
|--------------------------|-------|--|--|--|--|--|--|--|
| Estimated average burden |       |  |  |  |  |  |  |  |
| hours per response       | : 0.5 |  |  |  |  |  |  |  |

|  | Check this box if no longer subject<br>to Section 16. Form 4 or Form 5<br>obligations may continue. See<br>Instruction 1(b). |
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# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person <sup>*</sup><br>Kozanian Panos |             |       | 2. Issuer Name and Ticker or Trading Symbol<br><u>Five9, Inc.</u> [ FIVN ] |                        | tionship of Reporting Per<br>all applicable)<br>Director<br>Officer (give title   | erson(s) to Issuer<br>10% Owner<br>Other (specify |  |
|--|-------------|-------|--|------------------------|---|---|--|
| (Last)<br>C/O FIVE9, IN(<br>3001 BISHOP I                              | , , , , , , |       | 3. Date of Earliest Transaction (Month/Day/Year)<br>12/05/2022             |                        | below)<br>EVP, Product Eng  | below)<br>gineering                               |  |
| (Street)<br>SAN RAMON CA 94583   |             | 94583 | 4. If Amendment, Date of Original Filed (Month/Day/Year)                   | 6. Indiv<br>Line)<br>X | Individual or Joint/Group Filing (Check Applica<br>le)<br>X Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person |   |  |
| (City)   | (State)     | (Zip) |  |                        |   |   |  |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Transaction<br>Code (Instr. |   | 4. Securities<br>Disposed Of |               |                                | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|---------------------------------|--|---|-----------------------------|---|------------------------------|---------------|--------------------------------|---|---|---|
|                                 |  |   | Code                        | v | Amount                       | (A) or<br>(D) | Price                          | Transaction(s)<br>(Instr. 3 and 4)  |   | (Instr. 4)  |
| Common Stock                    | 12/05/2022                                 |   | S                           |   | 2,056(1)                     | D             | <b>\$</b> 65.79 <sup>(2)</sup> | 81,587  | D   |   |
| Common Stock                    | 12/05/2022                                 |   | S                           |   | 819 <sup>(3)</sup>           | D             | \$65.53                        | 80,768  | D   |   |

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|   |   |  | ( 0)1   | ,                            |   |   |     |                     |                    |       |   | ,  | -  |  |  |
|---|---|--|---|------------------------------|---|---|-----|---------------------|--------------------|-------|---|--|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5) |     | ate                 | Amount of          |       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownershij<br>(Instr. 4) |  |
|   |   |  |   | Code                         | v | (A)   | (D) | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares              |  |  |  |  |

#### Explanation of Responses:

1. The reported sales were to cover taxes upon the vesting of restricted stock units.

2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$65.61 to \$65.82, inclusive. The reporting person undertakes to provide Five9, Inc., any security holder of Five9, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

3. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on December 11, 2021.

#### **Remarks:**

## /s/ David Hill, Attorney in

Fact

12/07/2022

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.